

****HAND CARRY**** TO PCT WINDOW, CRYSTAL PLAZA II, 8TH FLOOR

Att'y Docket: P65952US0
Serial No: New PCT Application
Applicant: Roser et al.
Filing Date: September 18, 2000

Today's Date: September 18, 2000

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- X Published 14 pg. Specif. with 15 claims and Abstract
- X 8 Sheets of Drawings
- X Preliminary Amendment to Lessen Fees
- X International Search Report (210)
- X PCT/IB/306 Form(s)
- X Letter to EPO
- X IPER (409)

90 Rec'd PCT/PTO 18 SEP 2000

Check for \$ 970.00 Check No. 49605 DUE DATE: September 18, 2000

JACOBSON, PRICE, HOLMAN & STERN, PLLC
400 SEVENTH STREET, NW
WASHINGTON, DC 20004

peg

✓ 09/6234950

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED / ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER P65952US0
		US APPLICATION NO. (If known, see 37 CFR 1.5) 09/623495
INTERNATIONAL APPLICATION NO. PCT/GB99/00820	INTERNATIONAL FILING DATE 17 March 1999	PRIORITY DATE CLAIMED 18 March 1998
TITLE OF INVENTION AMORPHOUS GLASSES FOR STABILIZING SENSITIVE PRODUCTS		
APPLICANT(S) FOR DO/EO/US Bruce Joseph ROSER; Areadio Garcia DE CASTRO		

Applicant herein submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for Internatl. Preliminary Examination was made by the 19th month from earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the Internatl. Preliminary Examination report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
 - ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

International Search Report
PCT/IB/306 Form
International Preliminary Examination Report
Letter to EPO

US APPLICATION NO. (If known, see 37 CFR 1.5) 097/623495		INTERNATIONAL APPLICATION NO. PCT/GB99/00820		ATTORNEY'S DOCKET NUMBER P65952US0			
17. <input checked="" type="checkbox"/> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)): internatl. prelim. examination fee paid to USPTO (37 CFR 1.492 (a) (1)) ... \$670.00 No international preliminary examination fee paid to USPTO (37 CFR 1.492 (a) (2)) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) .. \$760.00 Neither international preliminary examination fee (37 CFR 1.492 (a) (3)) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO) \$970.00 International preliminary examination fee paid to USPTO (37 CFR 1.492 (a) (4)) and all claims satisfied provisions of PCT Article 33(2)-(4) \$96.00 Search Report prepared by the EPO or JPO (37 CFR 1.492 (a) (5)) \$840.00 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>				CALCULATIONS \$ 840.00		PTO USE ONLY	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130.00			
Claims	Number Filed	Number Extra	Rate				
Total Claims	15 - 20 =	-0-	x \$18.00	\$			
Independent Claims	1 - 3 =	-0-	x \$78.00	\$			
Multiple Dependent Claim(s) (if applicable)			+ \$260.00	\$			
TOTAL OF ABOVE CALCULATIONS =				\$ 970.00			
Reduction by 1/2 for filing by small entity , if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$			
SUBTOTAL =				\$ 970.00			
Processing fee of \$130 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f))				\$			
TOTAL NATIONAL FEE =				\$ 970.00			
Fee of \$40.00 for recording the enclosed assignment (37 CFR 1.21(h)). Assignment must be accompanied by appropriate cover sheet (37 CFR 3.28, 3.31).				\$			
TOTAL FEES ENCLOSED =				\$ 970.00			
				Amt. to be refunded:	\$		
				Amt. charged:	\$		
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>970.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. <u>06-1358</u> in the amount of \$ <u>---</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge my account any additional fees set forth in §1.492 during the pendency of this application, or credit any overpayment to Deposit Account No. <u>06-1358</u> . A duplicate copy of this sheet is enclosed.							
SEND ALL CORRESPONDENCE TO: Jacobson, Price, Holman & Stern, PLLC 400 7th Street, N.W., Suite 600 Washington, DC 20004 202-638-6666 CUSTOMER NUMBER: 00136				By <u>Jonathan L. Scherer</u> Jonathan L. Scherer Reg. No. 29,851			

Repln. Ref: 07/20/2001 AYILMAZ 0013371600

DA#: 06:39:39 Please charge my Deposit Account No. 06-1358 in the amount of \$ --- to cover the above fees.

FC: 704 A duplicate copy of this sheet is enclosed.